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1	An RNAi suppressor activates in planta virus-mediated gene editing
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17	Running title RNAi suppressor enhances VmGE
18	Keywords CRISPR/Cas9, FoMV, VmGE, RNAi suppressor

Abstract RNA-guided CRISPR/Cas9 technology has been developed for gene/genome
editing (GE) in organisms across kingdoms. However, *in planta* delivery of the two core
GE components, Cas9 and small guide RNA (sgRNA), often involves time-consuming
and labor-intensive production of transgenic plants. Here we show that *Foxtail mosaic virus*, a monocot- and dicot-infecting potexvirus, can simultaneously express Cas9,
sgRNA and an RNAi suppressor to efficiently induce GE in *Nicotiana benthamiana*through a transgenic plant-free manner.

### 26 Introduction

The CRISPR/Cas9 system, an adaptive immune defense against extrachromosomal
DNA and viruses in prokaryotes, creates double-stranded breaks (DSBs) in targeted
regions through a coordinated activity of sgRNA and Cas9 nuclease (Jinek et al. 2012).
DSBs can be repaired by homologous recombination or non-homologous end-joining,
which leads to nucleotide substitutions and/or indels (Jinek et al. 2012). This mechanism
has been exploited for specific and multiplex gene and genome editing (GE) in
eukaryotes (Jinek et al. 2012; Cong et al. 2013; Feng et al. 2014; Yu et al., 2018).

In plants, one of the critical challenges to induce GE is the delivery of Cas9 and 34 sgRNA into cells. Indeed, gene editing is often created in transgenic plants that are 35 usually transformed with T-DNA based binary vectors expressing Cas9 and specific 36 sgRNAs either by Agrobacterium-meditated transformation or biolistic bombardment 37 (Mao et al., 2018; Yu et al., 2018; https://www.nature.com/subjects/transgenic-plants). 38 The Cas9 and sgRNA expression cassettes (i.e. transgenes) are integrated into the 39 genome in transgenic plants. Subsequent segregations of these transgenes need to be 40 41 separated from the edited target gene. Apart from its extreme time-consumption and labor-intensity, this process can be much more complicated and difficult for 42 out-breeding species in which a specific elite genotype (phenotype) requires numerous 43 back-crosses to re-constitute. In addition, plant transformation cannot be readily and 44 easily achieved in all plant species, which is in particular challenging in some 45 transformation-recalcitrant species. Furthermore, even if an inheritable gene-edited 46 47 plant is obtained after the tedious transformation process and lengthy genetic selection, the resulting line could be still regarded to be transgenic, which may not be acceptable 48

- 49 in many countries. Thus development of a non-transgenic strategy is needed to
- 50 maximize the potential of GE technology in plant functional genomics and crop
- 51 improvement (Feng et al. 2014; Mao et al., 2018; Yu et al., 2018).

52 Transgenic plant-free transient toolboxes such as virus induced gene silencing (VIGS; Lin et al., 2008; Chen et al., 2015), gene complementation (VIGC; Zhou et al., 53 2012) and flowering (VIF; Li et al., 2011; Qin et al., 2017) have been widely used in 54 plants. To date, a bona fide non-transgenic virus-mediated GE (VmGE) platform has not 55 been established to induce inheritable gene modifications although several RNA/DNA 56 viruses were utilized to express sgRNA for GE in Cas9-transgenic plants (Ali et al., 57 2015; Yin et al., 2015; Cody et al., 2017). For instance, Tobacco rattle virus, a 58 bi-genome component single-stranded (ss) RNA virus, was used to deliver sgRNA 59 60 specifically targeting the phytoene desaturase (PDS) gene in Cas9-overexpressing transgenic Nicotiana benthamiana (Nb) lines resulted in successful PDS gene editing 61 62 (Ali et al., 2015). Targeted gene editing was also achieved by using a modified ssDNA geminivirus Cabbage Leaf Curl virus to express PDS- or isopentenyl/dimethylallyl 63 diphosphate synthase gene-specific sgRNAs in stable transgenic Nb expressing Cas9 64 (Yin et al., 2015). Moreover, Tobacco mosaic virus, a single-genome component ssRNA 65 virus has been engineered to create multiplexed gene editing (Cody et al., 2017). 66 However, these RNA/DNA virus-based GEs all occurred in Cas9-transgenic plants (Ali 67 et al., 2015; Yin et al., 2015; Cody et al., 2017), thus they are not transgenic plant-free 68 GE technology. Here we report non-transgenic VmGE using Foxtail mosaic virus 69

- 70 (FoMV), a positive ssRNA potexvirus, to express Cas9, sgRNA and the RNAi
- suppressor p19 in order to edit *PDS* gene in transgenic-free *N. benthamiana*.

### 72 Materials and methods

- 73 Construction of FoMV-based expression vectors
- 74 The coding sequences for 3xFLAG and nuclear localization signal (NLS)-tagged Cas9
- 75 (designated *FLAG:NLS:Cas9:NLS*) was amplified using a high-fidelity KOD-Plus-Neo
- 76 DNA polymerase (Toyobo), a plasmid carrying *FLAG:NLS:Cas9:NLS* (Yu et al. 2018)
- as template and a set of primers Cas9-3X-NLS-Hpa-MLU-F and
- 78 Cas9-3X-NLS-Xhol-ASC-R (Supplementary Table 1). The resultant PCR product of
- approximately 4.2 Kb in length was then treated with *Hpa*I and *Asc*I, and cloned into

the HpaI/AscI sites of the binary vector pCambia2300-FoMV (Liu et al., 2016) to 80 generate FoMV/Cas9 (Fig. 1a). To produce FoMV/sgRNAnon and FoMV/sgRNApds 81 (Fig. 1a), the corresponding DNA fragments were amplified using the high-fidelity 82 KOD-Plus-Neo DNA polymerase, pT-U6p-scaffold-U6t (Yin et al., 2015) or 83 pCVA-gRNA::NbPDS plasmid DNA (Yin et al., 2015) as template together with 84 primers AUT-Hpa-F3 and U6T-ASC-R2 (Supplementary Table 1), digested with 85 HpaI/AscI and then cloned into the HpaI/AscI sites of the binary vector 86 87 pCambia2300-FoMV (Liu et al., 2016). To generate FoMV/P19:sgRNApds (Fig. 1a), the p19 gene was amplified using the high-fidelity KOD-Plus-Neo DNA polymerase, 88 pEAQ-HT plasmid carrying the p19 coding sequence (Sainsbury et al., 2008) as 89 template and a set of primers P19-ORF-F and P19-ORF-R (Supplementary Table 1), 90 and cloned into the HpaI site of FoMV/sgRNApds. Similarly, the eGFP gene was 91 amplified using primers eGFP-ORF-F and eGFP-ORF-R (Supplementary Table 1), 92 plasmid pEGFP (Clonetech) as template, and cloned into the HpaI site of 93 pCambia2300-FoMV to produce FoMV/eGFP (Supplementary Fig. 1a). Insertion of 94 95 FLAG:NLS: Cas9:NLS, sgRNAnon, sgRNApds or P19:sgRNApds in these FoMV vectors was verified by PCR using a pair of primers Fomv seq 6830 5K-F and Fomv 96 Seq 7260 SUBP-R (Supplementary Table 1), and further confirmed by Sanger 97 sequencing. All FoMV constructs and the binary vector pEAQ-HT (Sainsbury et al., 98 2008) were transformed into Agrobacterium tumefaciens LBA4404 via electroporation 99 (Chen et al., 2018) respectively, confirmed by sequencing plasmid minipreped from 100 Agrobacterium culture prior to their use in subsequent agroinfiltration experiments and 101 102 plant transformation.

103 Plant transformation

104 A number of primary p19-transgenic lines were generated by leaf disc transformation

105 of Nicotiana benthamiana (Nb) with A. tumefaciens LBA4404 harboring pEAQ-HT as

described (Hong et al., 1996). Transformation was verified by PCR amplification of

integrated *p19* transgene using specific primers P19-ORF-F and P19-ORF-R

108 (Supplementary Table 1). Following self-fertilization, T1 and T2 progenies were

tested for antibiotic sensitivity by germinating seeds on 0.5 mg/ml kanamycin. Five

independent single-copy homozygous Nb lines transformed with the p19 transgene

111 were obtained as evidenced by the Mendelian 3:1 segregation ratio between

112 kanamycin-resistant and sensitive plantlets. Like WT *Nb*, all *p19*-transgenic plants

113 properly grew and developed.

114 Virus infection (VmGE), plant growth and maintenance

To prepare FoMV and recombinant FoMV inoculum, A. tumefaciens LBA4404 115 116 harboring different FoMV constructs (FIG. 1a and Supplementary Fig, 1a) was 117 cultured to reach a density of 1.0 OD<sub>600</sub> at 28 °C overnight in LB medium containing 0.5 mg/ml streptomycin and 0.5 mg/ml kanamycin, then collected by centrifugation at 118 3,000 rpm for 10 min, and resuspended in sterile water to give a final density of 0.5 119 OD<sub>600</sub>. For leaf-agroinfiltration, Agrobacterium was infiltrated into young leaves of WT 120 or transgenic Nb plants at six-leaf stage through needleless 0.5-ml syringe. 121 Alternatively, germinating seeds were agroinfiltrated in order to shorten virus infection 122 123 time. Briefly, dozens of Nb seeds were spread onto 3MM Whatman filter paper 124 pre-soaked in sterile water and placed within a petri dish (10 cm in diameter). The petri 125 dish was kept in a growth chamber at 25 °C with constant light. After 2 days under such 126 conditions, seeds began to break their coat and germinate. At this stage, seeds were collected and mixed with 5 ml 0.5 OD<sub>600</sub> Agrobacterium in a 50mL-Falcon<sup>™</sup> 127 centrifuge tube. Agroinfiltration of seeds was achieved using a vacuum pump under the 128 129 pressure of 0.085 MPa for 10 min. Agroinfiltrated seeds were then transferred to composts and kept under dark for 24 h. Plants were then grown under 16 h light/8 h 130 dark conditions at 25 °C in insect-free growth rooms, regularly examined for 131 development of local and systemic infection, and photographically recorded using a 132 133 D7000Sony NEX-5R camera. It should be noted that (1) for leaf-agroinfiltration, 6 plants were infiltrated with each FoMV construct or each combination of FoMV 134 constructs in a separate experiment, and such leaf-agroinfitration experiment was 135 repeated 2-4 times; and (2) for seed-agroinfiltration, 50-100 seeds were used for each 136 combination of FoMV constructs in two separate experiments. 137

138 Confocal microscopy

139 To examine eGFP expression from FoMV/eGFP, *Nb* leaves were collected at 4 days

post leaf-agroinfiltration and examined by a Nikon A1 confocal microscope under

141 488-nm excitation to excite GFP and monitor emission (510 nm) of green fluorescence

142 (Hong et al., 2003). *Nb* epidermis was also photographed under bright field following

the manufacturer's instructions. Confocal images were processed using the Nikon A1

144 Nis-Elements software.

145 RNA extraction and RT-PCR

146 RNA was extracted from systemic young *Nb* leaf tissues using RNAprep Pure Plant Kit

147 (TIANGEN). First-strand cDNA was synthesized from DNase I-treated RNAs (2 µg)

148 by M-MLV Reverse Transcriptase using the FastQuant RT Kit (TIANGEN) according

to the manufacturer's instructions. PCR was performed to detect FoMV genomic RNA,

virally expressed Cas9 or p19 mRNAs using cDNA as template together with primers

specific to each of the targets (**Supplementary Table 1**) and analyzed via

152 1.2%-Agarose gel electrophoresis.

153 Western blot

154 Total proteins were extracted from systemic young *Nb* leaf tissues as described (Hong

et al., 1996). Protein aliquots (20 μg) were separated on 10% SDS-PAGE gel after

electrophoresis under 100 V for 2 hr and transferred to a nitrocellulose membrane

157 (Bio-Rad). Western blot analyses were performed with 1:2000 mouse anti-FLAG

158 (Sigma-Aldrich) antibody, detected by 1:5000 goat anti-mouse IgG horseradish

159 peroxidase-conjugated secondary antibody (Abcam) and the SuperSignal West Femto

160 Maximum Sensitivity Substrate (Thermo Fisher Scientific). Chemilluminescent signals

161 were detected with a ChemiDoc XRS+ imaging System (Bio-Rad) following the

162 manufacturer's instructions.

163 Genomic DNA extraction and molecular characterization of ViGE

164 DNA was isolated from systemic young *Nb* leaf tissues using DNeasy Plant Mini Kit

(Oiagen) following the manufacturer's instructions. Genomic PCR amplification of the 165 sgRNA target PDS gene (407 bp) was performed using the high-fidelity 166 KOD-Plus-Neo DNA polymerase, 10-100 ng DNA as template and primers PDS MLY 167 ID-F3 and PDS Mly ID-R (Supplementary Table 1). Subsequently, two approaches 168 were used to characterize ViGE. In Approach I, genomic PCR products (approx. 400 ng) 169 were treated with MlyI (NEB) at 37 °C for 6 hr and analyzed by 1.5% agarose gel 170 electrophoresis. Any undigested PCR fragments were purified from gel and cloned into 171 172 the pEASY-Blunt3 Cloning Vector (TransGen Biotech) for Sanger sequencing. In Approach II, genomic PCR products were directly cloned into the pEASY-Blunt3 173 Cloning Vector. After high-fidelity colony PCR/MlyI digestion screening, plasmid 174 DNA was minipreped for sequencing. It should be noted that the high-fidelity 175 KOD-Plus-Neo DNA polymerase and detections of deletions as well as various 176 nucleotide substitutions including  $A \rightarrow T$  and  $T \rightarrow A$  ensure that these mutations we 177 identified were not the result of PCR errors, but were generated from in planta VmGE. 178

#### 179 Results and Discussion

180 To test VmGE, we exploited the FoMV vector originally designed for VIGS (Liu et al., 2016), but recently adapted for VIF (Yuan et al., 2019) and transient gene expression 181 (Supplementary Fig. 1). We cloned the coding sequences for 3xFLAG and nuclear 182 localization signal (NLS)-tagged Cas9, PDS-targeting sgRNApds (Yin et al., 2015) or a 183 sgRNA lacking any targeting sequence (sgRNAnon), into FoMV and generated 184 FoMV/Cas9, FoMV/sgRNApds and FoMV/sgRNAnon, respectively (Fig. 1a). Through 185 leaf co-agroinfiltration, Nb plants infected with FoMV/Cas9+FoMV/sgRNAnon or 186 FoMV/Cas9+FoMV/sgRNApds developed no symptoms (Supplementary Fig. 2a-d), 187 188 consistent with latent FoMV infection where viral RNA was detectable by RT-PCR 189 (Supplementary Fig. 3a-c). We then extracted genomic DNA from systemic leaf tissue and amplified the sgRNApds target PDS gene. Complete MlyI-digestion of the resultant 190 PCR products and subsequent sequencing analyses suggest no occurrence of VmGE 191 (Supplementary Fig. 2e-h). We suspected that this initial failure of VmGE might be 192

due to low efficacy of FoMV infection and insufficient viral expression of Cas9 andsgRNApds in plants.

To enhance FoMV infectivity for increasing the level of Cas9 and sgRNApds, we 195 co-expressed Tomato bushy stunt virus p19, a mutated RNAi suppressor with strong 196 RNAi suppression activity but deprived of pathogenesis function (Sainsbury et al., 2009) 197 in plants. We generated single copy homozygous Nb lines transformed with the 198 p19-expressing cassette (Supplementary Fig. 4a). Transgenic plants infected with 199 FoMV/sgRNApds and FoMV/Cas9 developed mosaic, chlorosis and leaf curling 200 (Supplementary Fig. 4b-e), and viral expression of Cas9 mRNA was readily detectable 201 (Fig. 1b). Genomic PCR-MlyI screening of 24 infected p19-transgenics in 4 separate 202 experiments showed that the sgRNApds target was only partially cleaved by *Mly*I, 203 204 suggesting occurrence of *in planta* systemic VmGE (Supplementary Fig. 4f). Further cloning and sequencing MlyI-resistant PCR products identified 104 mutations including 205 nucleotide deletions and substitutions in the targeted region (Fig. 1c-e). These 206 207 discoveries are supported by a finding that GE is increased in Arabidopsis defective in 208 RNAi pathway (Mao et al. 2018). We then generated a new vector 209 FoMV/P19:sgRNApds to express both p19 and sgRNApds (Fig. 1a). Upon agroinfitration of germinating Nb seeds with FoMV/Cas9 and FoMV/P19:sgRNApds, 210 plants grew and developed evident systemic viral symptoms (Fig. 2a-d). Viral delivery 211 of p19 markedly enhanced levels of Cas9 protein in young leaf tissues (Fig. 2e,f) and 212 led to efficient systemic VmGE in the PDS target (Fig. 2g,h and Supplementary Fig. 213 214 5).

Taken together, our data reveal that a concurrent delivery of Cas9, sgRNA and 215 RNAi suppressor p19 from the RNA virus FoMV can lead to VmGE in plants. The gene 216 edits reported here were found in primary generation of plants, i.e. in Nb plants where 217 Cas9, sgRNApds and p19 were expressed from FoMV/Cas9 along with 218 FoMV/p19:sgRNApds. Unfortunately, we do not have any data to show if the edit 219 would be heritable or not at the moment. However, we would like to mention that there 220 are three technical challenges for development of a transgenic plant-free VmGE 221 platform. (1) It is notoriously difficult to use plant virus vectors to express large proteins 222

- although many plant viruses have been engineered to express small non-viral proteins 223 such as GFP in plants (Kaya et al., 2017). (2) A successful gene editing event requires 224 simultaneous expression of both Cas9 and specific sgRNA in same cells from virus 225 vectors which are not integrated into the plant genome. (3) Transgenic plant-free VmGE 226 needs to occur in reproductive cells, leading to the edit heritable to next generation. We 227 228 now resolved the first two technical challenges and provided proof-of-concept of 229 VmGE, evidenced by the efficient expression of Cas9, a protein of more than 160 KDa and sgRNA together with a silencing suppressor p19 protein, and occurrence of GEs in 230 231 wild-type N. benthamiana (i.e. non-transgenic plant).
- FoMV, like *Potato virus X* (PVX), is a monopartite ssRNA virus in genus 232 Potexvirus (Liu et al., 2016). During viral genomic RNA replication of FoMV/Cas9 or 233 FoMV/p19:sgRNApds in systemic young leaf tissues, these recombinant viruses 234 235 produce subgenomic (sg) RNA via the regulation of the duplicated coat protein sgRNA 236 promoter, a similar strategy found in PVX infection (Hong et al., 1997; van Wezel et al., 2001; 2002; van Wezel & Hong, 2004; Li et al., 2009). The subgenomic RNA serves as 237 mRNA for translation of the Cas9 and p19 proteins as well as used as sgRNApds. All 238 these processes occur in virus-infected cells but do not involve integration of FoMV 239 genomic or subgenomic RNA into host genome at any stage of the viral life cycle (Liu 240 241 et al., 2016). Expression of a large protein such as Cas9 of more than 160kD in size from FoMV is also rare for any plant virus-based gene expression system (Kaya et al., 242 243 2017). This rapid and effective approach involves neither plant transformation nor transgenic expression of Cas9 or sgRNA, i.e. it is transgenic plant-free. Thus, 244 FoMV-based VmGE would produce non GMO plants. 245

FoMV can infect plants of 56 Poaceae species and at least 35 dicot species (Liu et 246 al., 2016). Considering the broad range of its host species (Liu et al., 2016), the 247 FoMV-based VmGE should be applicable to various dicots and monocots including 248 important cereal crops. Indeed, this technique is of particular use to edit genes for 249 creating new traits and/or for functional genomics in crops in which genetic 250 manipulation is difficult or no transformation system is yet available. On the other hand, 251 252 target genes can be edited to modulate growth, flowering, yield, nutrition as well as biotic and abiotic stress response for instance. Thus, this technique will also provide a 253 254 quick and efficient method for mutating genes so that function of the edited gene can be

delineated from phenotypic changes without introducing Cas9 and sgRNA expression

cassette or any genes into the genome of the edited plants using genetic engineering

257 processes. Dependent on the edited target genes, they can function and act in the control

of plant growth and development, flowering, yield, nutrition as well as innate response

to biotic and abiotic stresses.

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## 269 Completing interests

A international patent based on results in this manuscript has been filed and a leading
international biotech company has taken the license of this technology for research and
commercial applications.

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### 352 Figures

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354 Figure 1 Viral delivery of Cas9 and sgRNApds induced PDS gene editing in *p19*-transgenic 355 plants. (a) FoMV-based Cas9, sgRNApds and p19 expression vectors. FoMV/Cas9, 356 FoMV/sgRNApds and FoMV/sgRNAnon are for expression of Cas9 (FLAG:NLS:Cas9:NLS) 357 tagged with 3xFLAG at the N-terminal and a NLS at both the N- and C-termini, sgRNA 358 targeting PDS gene, and the empty sgRNA scaffold. FoMV/P19:sgRNApds is expected to 359 co-express p19 RNAi suppressor and sgRNApds. The sgRNApds sequence, PDS target region 360 and PAM as well as the FoMV genome together with regulatory elements (left and right 361 borders LB and RB, cloning sites, double 35S promoter 2x35S and NOS terminator) are 362 indicated. Blue arrows represent the native and duplicated coat protein (CP) subgenomic RNA 363 promoters. (b) Detection of Cas9 mRNA in young leaf tissues of 3 Nb plants infected with FoMV/Cas9+FoMV/P19:sgRNApds, but not in 3 mock-inoculated controls. Positions and sizes 364 of the DNA ladder (M) as well as the position of the detected Cas9 mRNA are indicated. (c,d) 365 Detection of FoMV-mediated systemic VmGE in young leaf tissues. Comparison of wild-type 366 (WT) and 17 edited PDS sequences (P1 to P17) reveals various point mutations and a 2-nt 367

368 deletion in the sgRNApds targeted region (boxed, c). Representative of Chromas diagrams

- show the sgRNApds targeted sequences (P1, P4, P13 and P16) with VmGE-mediated
- 370 substitutions (asterisks) and deletions (2 triangles). (d). (e) Summary of various types and
- 371 occurrences of VmGE events in sgRNApds targeted PDS gene. PAMs are highlighted (c,d) and
- positions of the nucleotides upstream of PAM are numbered (c,e).



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Figure 2 Effective VmGE through co-delivery of Cas9, sgRNApds and p19 from FoMV. (a-d) 374 375 VmGE induction. Experimental strategy is outlined (a). Broken seed-coat (SC) seeds were 376 infiltrated with mixed agrobacteria carrying FoMV/Cas9 and FoMV/P19:sgRNApds (Fig. 1a) 377 under vacuum-pressure (b). Systemic viral symptoms developed in Nb plants growing from 378 agroinfiltrated seeds (c,d). Seeds at 2 days after spread onto water-soaked filter paper (b) and 379 plants at 28 (c) and 60 (d) days post seed-agroinfiltration were photographed. (e) Analysis of 380 p19 mRNA. Expression of p19 was detected in virus-infected Nbs (lane 1 and 2), but not in 381 mock plant (lane 3). Positions and sizes of the DNA ladder (lane M) are indicated. (f) Delivery of p19 from FoMV enhances viral co-expression of Cas9 protein in plants. Western blot 382 383 detection of the 160-KDa FLAG-tagged Cas9 (asterisk) in Nb young leaf tissues infected with FoMV/Cas9+FoMV/P19:sgRNApds (lane 5), and in p19-transgenic young leaf tissues infected 384 with FoMV/Cas9+FoMV/sgRNApds (lane 6), but not in Nb young leaf tissues infected with 385 386 FoMV/Cas9+FoMV/sgRNApds (lanes 1 and 2) or mock controls (lanes 3 and 4). Positions and sizes of the protein marker (lane M) are indicated. (g,h) FoMV-mediated VmGE in systemic 387

- 388 young leaf tissues. Comparison of wild-type (WT) and 10 edited PDS sequences (P1 to P10) is
- shown (g). Representative of Chromas diagrams (P1, P3, P9 and P10) show nucleotide
- 390 substitutions (asterisks) in the sgRNApds target sequences (h). PAMs are highlighted red (g,h).