1 Stratification of bipolar disorder by psychotic features and a genome-

- 2 wide estimate of schizophrenia liability
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# 27 Key Points

- 28 Question: what is the relationship between schizophrenia related polygenic liability and
- 29 the occurrence and level of mood-incongruence of psychotic symptoms in bipolar
- 30 disorder (BD)?
- **Findings**: in this case-control study including 4436 BD cases, 4976 schizophrenia cases
- 32 and 9012 controls, there was an exposure-response gradient of polygenic risk:
- 33 Schizophrenia > BD with prominent mood-incongruent psychotic features > BD with
- 34 mood-congruent psychotic features > BD with no psychosis, all differential associations
- 35 were statistically-significant.
- 36 Meaning: A gradient of genetic liability across schizophrenia and bipolar disorder,
- indexed by the occurrence of psychosis and level of mood-incongruence has been shown
- 38 for the first time.

- 39 Abstract
- 40 Importance
- 41 Bipolar disorder (BD) overlaps schizophrenia in its clinical presentation and genetic
- 42 liability. Alternative approaches to patient stratification beyond current diagnostic
- 43 categories are needed to understand the underlying disease processes/mechanisms.
- 44 Objectives
- 45 To investigate the relationship between common-variant liability for schizophrenia,
- 46 indexed by polygenic risk scores (PRS) and psychotic presentations of BD, using clinical
- 47 descriptions which consider both occurrence and level of mood-incongruent psychotic
- 48 features.
- 49 Design
- 50 Case-control design: using multinomial logistic regression, to estimate differential
- 51 associations of PRS across categories of cases and controls.
- 52 Settings & Participants
- 4399 BD cases, 2966 (67%) female, mean age-at-interview 46 [sd 12] years, from the BD
- 54 Research Network (BDRN) were included in the final analyses. For comparison
- 55 genotypic data for 4976 schizophrenia cases and 9012 controls from the Type-1 diabetes
- 56 genetics consortium and Generation Scotland were included.

#### 57 Exposure

- 58 Standardised PRS, calculated using alleles with an association p-value threshold < 0.05
- 59 in the second Psychiatric Genomics Consortium genome-wide association study of
- schizophrenia, adjusted for the first 10 population principal components and genotyping-
- 61 platform.

#### 62 Main outcome measure

Multinomial logit models estimated PRS associations with BD stratified by (1) Research
Diagnostic Criteria (RDC) BD subtypes (2) Lifetime occurrence of psychosis.(3) Lifetime
mood-incongruent psychotic features and (4) ordinal logistic regression examined PRS
associations across levels of mood-incongruence. Ratings were derived from the
Schedule for Clinical Assessment in Neuropsychiatry interview (SCAN) and the Bipolar
Affective Disorder Dimension Scale (BADDS).

#### 69 Results

70 Across clinical phenotypes, there was an exposure-response gradient with the strongest

PRS association for schizophrenia (RR=1.94, (95% C.I. 1.86, 2.01)), then schizoaffective

72 BD (RR=1.37, (95% C.I. 1.22, 1.54)), BD I (RR= 1.30, (95% C.I. 1.24, 1.36)) and BD II

73 (RR=1.04, (95% C.I. 0.97, 1.11)). Within BD cases, there was an effect gradient, indexed

<sup>74</sup> by the nature of psychosis, with prominent mood-incongruent psychotic features having

the strongest association (RR=1.46, (95% C.I. 1.36, 1.57)), followed by mood-congruent

psychosis (RR= 1.24, (95% C.I. 1.17, 1.33)) and lastly, BD cases with no history of

77 psychosis (RR=1.09, (95% C.I. 1.04, 1.15)).

## 78 Conclusion

79 We show for the first time a polygenic-risk gradient, across schizophrenia and bipolar

80 disorder, indexed by the occurrence and level of mood-incongruent psychotic symptoms.

#### 82 Introduction

Although classified as a discrete diagnostic category<sup>1-3</sup>, bipolar disorder (BD) overlaps 83 considerably with schizophrenia (SCZ) in both its clinical presentation <sup>4-13</sup> and genetic 84 liability <sup>14-22</sup>. BD is a phenomenologically heterogeneous construct and within the 85 diagnostic category, individuals may have quite different symptom profiles. It has been 86 proposed, that this clinical heterogeneity indicates underlying aetiological heterogeneity 87 and the degree of clinical similarity between BD and SCZ reflects, overlapping alleles 88 which selectively influence specific, shared clinical characteristics, rather than the global 89 risk for the disorders <sup>23-25</sup>. 90

Delusions and hallucinations are common in BD<sup>26,27</sup> with around one third of all 91 psychotic features judged to be mood-incongruent <sup>28,29</sup>. Mood-incongruent psychotic 92 features, are associated with poorer prognosis, poor lithium-response and are 93 qualitatively similar to the prototypic symptoms of SCZ <sup>30-32</sup>, suggesting that BD with 94 psychosis and particularly mood-incongruent psychotic features, may specify a 95 subgroup/stratum with stronger aetiological links to SCZ. Stratified linkage and 96 candidate-gene studies of BD associations with chromosomal regions and genes 97 implicated in SCZ, show stronger effects in psychosis and mood-incongruent subsamples 98 <sup>33-36</sup> providing some support for this causal heterogeneity hypothesis, however lack of 99 consistency in earlier linkage and candidate-gene studies renders the overall support 100 weak. 101

Recently, genome-wide association studies (GWAS) have found a substantial polygenic component to both BD and SCZ risk, with a large proportion of their genetic variance explained by common alleles, partially shared across the two disorders <sup>20</sup>. Polygenic-risk can be calculated for individuals, with a single summary measure: the polygenic risk score (PRS), which allows us to examine the genetic basis of symptom domains, within

and across the two disorders <sup>37-39</sup> with greater power than the historical linkage and 107 candidate-gene approaches. PRS-SCZ differentiate BD from controls <sup>20,40</sup> and there are 108 differential associations across subtypes with schizoaffective bipolar disorder (SABD) 109 (intermediate subtype, characterised by admixture of SCZ and BD symptoms) having a 110 relatively larger burden of SCZ risk, compared to other BD subtypes <sup>15,41</sup>. To date, lack of 111 power in well phenotyped samples has hindered fine-scale examination of the 112 relationship between SCZ polygenic-risk and psychotic symptoms in BD. 113 We aimed to examine the relationship between polygenic liability for SCZ and psychotic 114 presentations of BD using PRS generated from the most powerful SCZ-GWAS discovery 115 set available, currently<sup>21</sup>. Measures relevant to the occurrence and nature of psychotic 116 symptoms were considered. We hypothesised BD with psychosis would be associated 117 with higher polygenic-risk for SCZ and this association would be stronger when mood-118 incongruent psychotic features were present, given their phenotypic similarity to the 119 psychotic symptoms of prototypic SCZ. 120

121 Methods

- 122 Sample Ascertainment
- 123 Bipolar Disorder sample

124 4436 cases of BD with deep phenotypic information, European ancestry, domicile in the

125 UK, collected between 2000 - 2013 were available via the UK BD Research Network

- (BDRN) using recruitment methods reported previously <sup>15,42,43</sup>. The sample has 1399
- 127 cases not included in prior BDRN publications <sup>15,41</sup>. All participants were assessed using
- a consistent protocol which included the Schedule for Clinical Assessment in
- 129 Neuropsychiatry interview (SCAN)<sup>44</sup> administered by trained research psychologists and
- 130 psychiatrists, with very good to excellent inter-rater reliability for all domains of

psychopathology <sup>45</sup>. Using information from the SCAN and casenote review, the
Operational Criteria Checklist (OPCRIT) <sup>46</sup> was completed. Research Diagnostic
Criteria (RDC) <sup>3</sup>diagnoses, which differentiate individuals on the basis of the their
pattern of mood and psychotic symptoms better <sup>41</sup> than either DSM <sup>2</sup> or ICD–10<sup>1</sup>, were
made using the consensus lifetime best-estimate method, informed by all available
information<sup>47</sup>.

#### 137 Schizophrenia sample

138 To allow comparison of BD with SCZ, we included a subset (N=4976) of the CLOZUK

139 sample, collected via the Zapronex<sup>®</sup> Treatment Access System as detailed in a previous

140 report<sup>48</sup>, All were prescribed clozapine for treatment resistant SCZ (TRS) and are

independent of, and unrelated (pi-hat <0.2) to individuals in the discovery GWAS<sup>21</sup>. In

142 principle, TRS may carry higher polygenic-risk burden, however PRS in CLOZUK are

similar to the other SCZ samples used by the Psychiatric Genomics Consortium<sup>21</sup>.

## 144 Control Samples

- 145 The controls came from two UK sources: the Type-1 diabetes genetics consortium
- 146 (TIDGC) (n = 2,532) are unscreened controls, recruited through the 1958 birth-cohort  $^{49}$
- and the other is a subsample of the Generation Scotland (n = 6,480) study, screened for
- 148 psychiatric disorders <sup>50</sup>. Controls are unrelated (pi-hat < 0.2) to individuals in the PGC-
- 149 SCZ discovery set, and were matched ancestrally to our case datasets <sup>48</sup>.
- 150 All samples have appropriate ethics approvals.

# 151 Genotyping, quality control (QC), phasing and imputation

152 Bipolar cases

- 153 Genotypic data for the BD cases were processed in 3 batches, each on a different
- 154 platform. To mitigate against potential bias from batch effects<sup>51</sup>, stringent QC was

155 performed on each platform separately prior to merging. Single nucleotide

- polymorphisms (SNPs) were excluded if the call rate was < 98%, MAF was < 0.01 or
- they deviated from HWE at  $p < 1x10^{-6}$ . Individuals were excluded if they had minimal or
- excessive autosomal homozygosity (|F| > 0.1), high pairwise relatedness (pi-hat > 0.2) or
- 159 mismatch between recorded and genotypic sex. Following QC, the data for each
- 160 platform were phased using SHAPEIT <sup>52</sup> and imputed with IMPUTE2 <sup>53</sup>, using the 1000
- 161 Genomes reference panel (Phase3, 2014). Imputed data were converted into the most
- 162 probable genotypes (probability >0.9) and merged on shared SNPs. 4399 BD cases
- 163 remained after QC.
- 164 CLOZUK cases and Controls
- 165 The CLOZUK and control samples had been though strict QC separately, before being
- 166 phased and imputed simultaneously as part of a larger SCZ study  $^{48}$ .
- 167 Merging BD, CLOZUK and control imputed genotypic datasets
- 168 After excluding SNPs with stand ambiguity; BD, CLOZUK and control samples were
- merged and the imputed markers underwent a second QC filter<sup>51</sup>, excluding SNPs with;
- 170 missingness in >5% of individuals, (INFO) <0.8, MAF <0.01 or deviation from HWE at
- 171  $p < 1x10^{-6}$ .
- 172 Principal Component Analysis
- 173 To adjust for potential confounding from population structure, we performed PCA using
- 174 PLINK v1.9, after LD pruning and frequency filtering the SNPs from the merged
- sample, keeping the eigenvectors for the first 10 principal components (PCs) to use as
- 176 covariates in the association analysis.

#### 177 Polygenic Risk Scores (PRS)

We generated PRS<sup>20</sup>, using the 2014 PGC-SCZ meta-analysis as our discovery set<sup>21</sup> 178 calculated for each individual, based on a set of alleles with association p-values < 0.05. 179 This decision was informed by the PGC leave one-cohort-out PRS analyses, for all SNP 180 selection p-value thresholds, which found the median and mode of the cut-off = 0.05. 181 This represents the association that best optimises the balance of false and true risk 182 alleles, at the current discovery sample size <sup>21</sup>. The most informative and independent 183 markers were selected to minimise statistical noise where possible, using p-value 184 informed clumping, at  $r^2 < 0.2$  with 1MB windows and by excluding the extended MHC 185 (Chr6: position 25-35MB) because of its complex LD structure. 186 Outcome measure of lifetime psychosis & mood incongruence 187 188 Subtypes of BD RDC subtypes were used as categorical outcomes in case-control analyses. The RDC <sup>3</sup> 189 and Diagnostic and Statistical Manual of Mental Disorders (DSM)<sup>2</sup>, though not the 190 ICD-10 Classification of Mental and Behavioural Disorder (ICD-10)<sup>1</sup>, subdivides BD 191 into bipolar I disorder (BD I) and bipolar II disorder (BD II) depending on the nature of 192 the mood states; mania in (BP I) and hypomania in (BP II). All classification systems 193 recognise SABD. Psychotic symptoms are most prominent in SABD, then BD I, and 194 least prominent in BD II 54,55. 195

196 The Bipolar Affective Disorder Dimension Scale

197 Outcome measures were generated from The Bipolar Affective Disorder Scale (BADDS)

- 198 Psychosis (P) and mood-incongruence (I) subscales, which provide an ordered (not
- 199 necessarily linear) measure of lifetime symptom domain severity<sup>56</sup>. An inter-rater

reliability exercise for this sample demonstrates excellent interclass correlation: (P) 0.91and (I) 0.89.

1) A binary categorical outcome measure for lifetime occurrence of psychosis defined as
an unambiguous episode of positive and/or disorganised psychotic symptoms, generated
by dichotomising the (P) domain scale at a score > 9 <sup>56</sup>.

205 2) A binary categorical outcome measure for lifetime occurrence of predominant mood-

206 incongruent psychotic features (high v low prominence of mood-incongruence),

207 generated by dichotomising the (I) domain scale at a score >19.

3) An ordinal measure of mood-incongruent psychotic features which assesses the

209 overall balance between mood-congruent and mood-incongruent psychosis across the

210 lifetime, rated using all available information according to BDRN protocol (E

211 supplement : Note 1)

212 Statistical Analysis

A multinomial logit model (MNLM) was used to estimate differential associations of 213 standardised PRS, adjusted for the first 10 PCs and genotyping-platform, across 214 categories of cases and controls. We report the estimated coefficients transformed to 215 relative risk-ratios (RR), defined as the exponentiated regression coefficient. PRS 216 association across levels of mood-incongruent psychotic features using ordinal logistic 217 218 regression was also estimated. To examine whether SABD subtypes were driving 219 observed PRS associations with mood-incongruent psychotic features, we did a sensitivity analysis excluding SABD cases. Post-estimation predicted probabilities were 220 plotted to aid interpretation of the PRS associations across RDC subtypes of BD<sup>57</sup>. To 221 correct for multiple comparisons of PRS associations across different phenotypic strata 222 within each model, bootstrapped standard errors and 95% confidence intervals were 223

generated, as an approximation to exact permutation methods <sup>58</sup>(supplementary E - Note
2). Possible family-wise type-1 error proliferation was controlled for using the Bonferroni
Method, calculated by multiplying the bootstrapped p-values by four <sup>59</sup>.

227 Post-hoc analyses used a MNLM case-control design to examine differential associations

- across composite phenotypic categories defined by subtype BDI and BD II stratified by
- 229 psychosis status and a complementary logistic regression analyses comparing the effect
- of PRS on lifetime occurrence of psychosis, across BD I and BD II subtypes. To examine

the distribution of RDC defined cases across levels of PRS, we converted PRS to deciles

- and generated a stacked bar-chart (SCZ (CLOZUK), SABD, BD I, BD II), by decile.
- 233 Analyses were performed using PLINK v1.9<sup>60</sup> or STATA (*Stata Statistical Software:*
- 234 *Release 14.* College Station, TX: Stata Corp, LP).

# 235 Results

236 Sample description, Genotyping and quality control

- 237 After merging BD, CLOZUK and control imputed-genotyped samples and further QC,
- 18,387 cases and controls (E-supplementary Table 1) with 3,451,354 SNPs with INFO
- score > 0.8 and MAF > 1% were available for analysis. Within the BD sample 52% (N =
- 240 2296) of cases endorsed lifetime occurrence of definite psychosis, with <1% missingness
- in this variable (N=25). Of the BD cases with definite psychosis, 43% (N= 981) were
- classed as having high lifetime mood-incongruent psychotic features. There was a 9%
- 243 (N=214) missingness rate for the mood-incongruence variable, within the BD cases with
- 244 psychosis.

#### 245 Case Control PRS associations

As expected (Table 1 Section A), PRS discriminated CLOZUK from controls. PRS in
those with a diagnosis of SABD or BD I, but not BD II, were significantly higher than
controls.

- 249 PRS associations within cases
- 250 PRS discriminated SCZ from all BD subtypes (Table 2). Within BD, PRS discriminates
- BD II from both BD I and SABD (Figure 1). The percentage of CLOZUK cases
- increased monotonically with increasing decile PRS, while the percentage of bipolar
- subtypes decreased (Figure 2).
- 254 PRS associations with psychotic BD
- 255 Compared to controls, the PRS were higher in BD, regardless of whether there was a
- history of psychosis (Table 1, Section B, Figure 2). However, PRS were significantly
- higher in BD with psychosis, compared to BD without psychosis (Table 1, Section B,
- figure 3). Within BD cases, PRS discriminated those with and without psychosis
- 259 (RR=1.25, 95% bootstrapped adjusted p-value < 001, C.I. (1.16, 1.33)).
- 260 Post hoc analyses showed the association between PRS and psychosis was present in BD
- I (OR = 1.21, 95% C.I. 1.10, 1.32) but were not statistically significant in BD II (OR = 1.21, 95% C.I. 1.10, 1.32) but were not statistically significant in BD II (OR = 1.21, 95% C.I. 1.10, 1.32) but were not statistically significant in BD II (OR = 1.21, 95% C.I. 1.10, 1.32) but were not statistically significant in BD II (OR = 1.21, 95% C.I. 1.10, 1.32) but were not statistically significant in BD II (OR = 1.21, 95% C.I. 1.10, 1.32) but were not statistically significant in BD II (OR = 1.21, 95% C.I. 1.10, 1.32) but were not statistically significant in BD II (OR = 1.21, 95% C.I. 1.10, 1.32) but were not statistically significant in BD II (OR = 1.21, 95% C.I. 1.10, 1.32) but were not statistically significant in BD II (OR = 1.21, 95% C.I. 1.10, 1.32) but were not statistically significant in BD II (OR = 1.21, 95% C.I. 1.10, 1.32) but were not statistically significant in BD II (OR = 1.21, 95% C.I. 1.10, 1.32) but were not statistically significant in BD II (OR = 1.21, 95% C.I. 1.10, 1.32) but were not statistically significant in BD II (OR = 1.21, 95% C.I. 1.10, 1.32) but were not statistically significant in BD II (OR = 1.21, 95% C.I. 1.10, 1.32) but were not statistically significant in BD II (OR = 1.21, 95% C.I. 1.10, 1.32) but were not statistically significant in BD II (OR = 1.21, 95% C.I. 1.10, 1.32) but were not statistically significant in BD II (OR = 1.21, 95% C.I. 1.10, 1.32) but were not statistically significant in BD II (OR = 1.21, 95% C.I. 1.10, 1.32) but were not statistically significant in BD II (OR = 1.21, 95% C.I. 1.10, 1.32) but were not statistically significant in BD II (OR = 1.21, 95% C.I. 1.10, 1.32) but were not statistically significant in BD II (OR = 1.21, 95% C.I. 1.10, 1.32) but were not statistically significant in BD II (OR = 1.21, 95% C.I. 1.10, 1.32) but were not statistically significant in BD II (OR = 1.21, 95% C.I. 1.10, 1.32) but were not statistically significant in BD II (OR = 1.21, 95% C.I. 1.10, 1.32) but were not sta
- 262 0.98, 95% C.I. 0.80, 1.18). Composite subgroup defined as BD I with psychosis had
- higher PRS compared to controls (RR = 1.38, 95% C.I. 1.31, 1.46) this association was
- significantly stronger than that of the composite BD I/no psychosis (RR= 1.16, 95% C.I.
- 1.08, 1.25). Within BD II, there was no differential association across subgroups defined
- by presence/absence of psychosis as compared to controls (supplementary-E: Table-1).

#### 267 PRS associations with mood-incongruent psychotic features

Psychotic BD characterised by high mood-incongruence has a higher SCZ polygenic risk 268 burden than controls, with a one standard-deviation increase in PRS increasing the RR 269 of being in the high mood-incongruence category by 46% (RR= 1.46, bootstrapped, 95%) 270 C.I. 1.36, 1.57) (Figure 3, Table 1 Section C). Although the association was significantly 271 weaker than for the high mood-incongruent group, schizophrenia risk-alleles were 272 enriched in those with low mood-incongruence compared with controls (RR= 1.24, 273 bootstrapped 95% C.I. (1.17, 1.33). Sensitivity analysis excluding the SABD group from 274 analyses found comparable results (Table 1: Section D). Finally, a within-BD-case 275 analysis, measuring mood-incongruence on an ordinal scale found the odds of having 276 higher levels of mood-incongruence, increased with increasing PRS (OR=1.17, 277 278 (bootstrapped p-value < .001, 95% C.I. 1.08 - 1.27)). Analyses excluding the SABD sample found comparable results (OR=1.20, bootstrapped p-value < .001, 95% C.I. 1.09, 279

280 1.32).

# 281 Discussion

#### 282 Main Findings

Higher PRS-SCZ in BD<sup>20,61</sup> is well established. Here, we replicate and extend this 283 observation, demonstrating a gradient of PRS associations across SCZ and BD subtypes 284 (CLOZUK > SABD > BD I with psychosis > BD I without psychosis > BD II). We also 285 show BD cases with psychosis carry a higher burden of SCZ risk-alleles, compared to 286 BD without a history of psychosis. Furthermore, individuals with psychotic BD 287 characterised by prominent mood-incongruent psychotic features, carry the highest 288 289 burden of schizophrenia risk-alleles. There is a clear exposure-response gradient, with increasing PRS associated with psychotic BD and increasing mood-incongruence (mood-290

incongruent > mood-congruent > no psychosis), supporting our hypothesis that moodincongruence indexes phenotypic features linked to SCZ liability.

Previously published work examining PRS for SCZ across BD, stratified by psychosis, 293 did not find significant discrimination <sup>41,62</sup> although a trend was observed, consistent with 294 the findings presented here. The most likely explanations for the enhanced signal in the 295 current analysis are: PRS were constructed using alleles derived from a larger SCZ-296 GWAS discovery set which reduces measurement error plus improved power from both 297 this and the larger BD sample <sup>63</sup>. This group has shown<sup>41</sup>, PRS-SCZ significantly 298 differentiate SABD from non-SABD subtypes, while finding no statistically significant 299 differential between BD stratified by psychosis, suggesting it is the nature of the 300 psychotic symptoms rather than their presence which better indexes liability shared with 301 SCZ. The current analysis supports this proposition that it is the level of mood-302 303 incongruence rather than the presence of psychosis *per se* which better specifies a shared biologically-validated dimensional trait, captured, but with less precision by the SABD 304 305 diagnostic category.

Psychosis and mood-incongruent psychotic features are known to be correlated to poorer 306 prognosis and treatment response<sup>30-32</sup> It is possible the trans-diagnostic exposure-response 307 gradient for PRS with the occurrence and nature of psychotic symptoms presented here, 308 could be the result of a general psychopathological factor cutting across psychiatric 309 disorders which influences the severity of psychopathology generally, as well as, or 310 rather than a psychosis-specific domain and that PRS derived from SCZ GWAS may be 311 indexing a general liability for psychopathology severity (at least in part)<sup>64</sup> rather than a 312 313 (SCZ) disease specific liability.

#### 314 Implications

Our study supports the hypothesis that within BD, positive and disorganized psychotic 315 symptoms, and in particular mood-incongruent psychotic features, represent a 316 dimensionally defined stratum with underpinning biological validity. These features are 317 not only phenotypically similar to those observed in prototypal schizophrenia but also 318 index a greater shared genetic aetiology suggesting they share more pathophysiology <sup>65</sup>. 319 320 It is notable that in those diagnosed with BD I with no history of psychosis, the association with schizophrenia liability was weaker but still on average higher than in the 321 control group, while in the BD II subsample there was no overlap with SCZ liability. We 322 are not suggesting psychotic features are the best or only index of shared 323 pathophysiology, but having established stronger genetic links between the risk for SCZ 324 and BD characterised by the occurrence of psychosis and level of mood-incongruence, 325 we now have a basis to refine this signal. These findings represent a step towards the goal 326 of reconceptualising phenotypic definitions using richer clinical signatures, measured 327 across quantitative/qualitative domains including, symptom loadings and biomarker 328 expression, outlined in the rationale for the Research Domain Criteria (RDoC) <sup>66,67</sup> and 329 the road map for mental health research (ROAMER)<sup>68</sup> projects. It is probable however a 330 multidimensional stratification process will harness the observed clinical heterogeneity 331 better and define more precise patient-strata/subgroups in closer alignment with the 332 underlying pathophysiology 68-70 333

## 334 Methodological considerations

The phenotypic ratings used in the current analyses are based on both SCAN interviews and case-note review by raters with excellent inter-rater reliability, which is expected to minimise rates of missing data and reduce the likelihood of phenotypic

misclassification<sup>71</sup>. Our psychosis phenotypes are broadly defined and likely to represent

imperfect measurements of a continuously distributed phenotype<sup>72</sup>, imposing categorical 339 constraints as we have done may reduce power. We generated PRS using a single 340 discovery set p-value threshold < 0.05 and dealt with multiple comparisons, across 341 different phenotypic categories/strata using bootstrap re-sampling approaches within 342 each of our 4 independent analyses, adjusting for family-wise type-1 error proliferation 343 using Bonferroni's correction. We have mitigated against potential confounding due to 344 population stratification and potential batch effects across cases and controls, by 345 partialling out the first 10 PCs and genotyping platforms from the PRS. The PRS were 346 347 generated using most probable genotypes which can potentially reduce power due to a small (non-differential) loss of information at some markers making our results 348 conservative, but the conclusions are unlikely to change. Finally, we have only examined 349 the effect of common variants, as rare variants are not captured by current GWAS. 350

#### 351 Conclusions

We show for the first time a gradient of polygenic liability across schizophrenia and bipolar disorder, indexed by the occurrence and level of mood-incongruence of positive and disorganised psychotic symptoms. This highlights the usefulness of genetic data to dissect clinical heterogeneity within and across disorders, and suggests further research could potentially aid in defining patient stratifiers with improved biological precision/validity, moving us tentatively towards precision medicine in psychiatry.

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	N (subsample)	RR	Bootstrapped p-value	Bonferroni Corrected p-value	Bootstrapped 95% confidence intervals		
CLOZUK	4,976	1.94	< .001	< .001	1.86, 2.01		
		A) Bipolar Disorder cases stratified by RDC defined subtypes					
SABD	356	1.37	< .001	< .001	1.22, 1.54		
BD I	2,775	1.30	< .001	< .001	1.24. 1.36		
BD II	1,268	1.04	0.26	0.26	0.97, 1.11		
		B) Bipolar Disorder cases stratified by lifetime occurrence of					
		psychosis					
No LEP	2,079	1.09	0.001	0.004	1.04, 1.15		
LEP	2,296	1.36	< .001	< .001	1.29, 1.43		
		C) Psychotic Bipolar Disorder cases stratified by level of mood incongruence					
Low LMI	1,126	1.24	< .001	< .001	1.17, 1.33		
High LMI	981	1.46	< .001	< .001	1.36, 1.57		
		D) Sensitivity Analysis: Psychotic Bipolar Disorder cases stratified					
		by levels of mood incongruence (excluding SABD cases)					
Low LMI	1,068	1.25	< .001	< .001	1.16, 1.33		
High LMI	699	1.49	< .001	< .001	1.37, 1.62		

Table 1:Differential Association of PRS across variously defined BD strata (controls as comparator category)

CLOZUK – Treatment resistant Schizophrenia, treated with clozapine, BD I - RDC bipolar disorder subtype I, BD II - RDC bipolar disorder type II, SABD-RDC bipolar schizoaffective disorder, LEP – lifetime ever occurrence of psychotic symptoms, LMI – lifetime pattern of low/high mood incongruent psychotic features RR – relative risk ratio PRS adjusted for 1<sup>st</sup> 10 PCs and genotyping platform

#### Table 2: PRS-SCZ associations among cases

	RR	Bootstrapped	Bonferroni	Bootstrapped
		p-value	corrected p-value	95% C.I.
SABD compared to TRS	0.71	< .001	< .001	0.63, 0.80
BD I compared to TRS	0.67	< .001	< .001	0.64, 0.71
BD II compared to TRS	0.54	< .001	< .001	0.50, 0.57
SABD compared to BD II	1.32	< .001	< .001	1.16, 1.50
BP I compared to BD II	1.25	< .001	< .001	1.16, 1.35
SABD compared to BD I	1.05	0.41	0.41	0.93, 1.18

TRS - treatment resistant schizophrenia, treated with clozapine, BDI - RDC bipolar disorder subtype I, BD II - RDC bipolar disorder type II, SABD-RDC bipolar schizoaffective disorder, RR – relative risk ratio PRS adjusted for 1<sup>st</sup> 10 PCs and genotyping platform 95% bootstrapped C.I. - 95% confidence intervals.

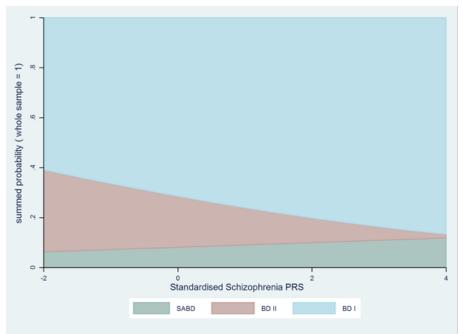


Figure 1: Probability of RDC bipolar subtype as a function of polygenic risk scores (PRS) for schizophrenia.

x-axis- standardized PRS in standard deviation units, SABD – schizoaffective bipolar type, BD I

Bipolar Disorder type I, BD II – Bipolar disorder type II.

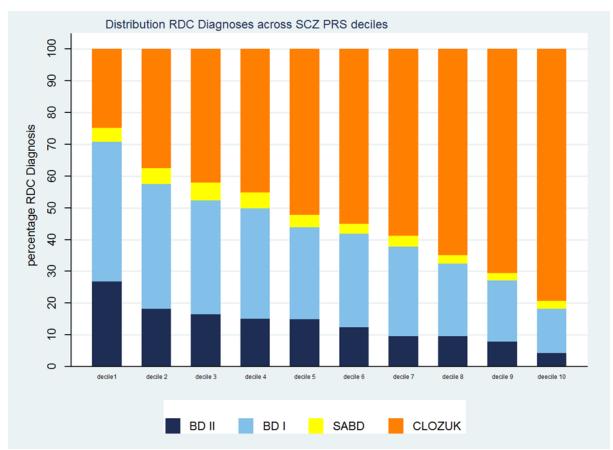
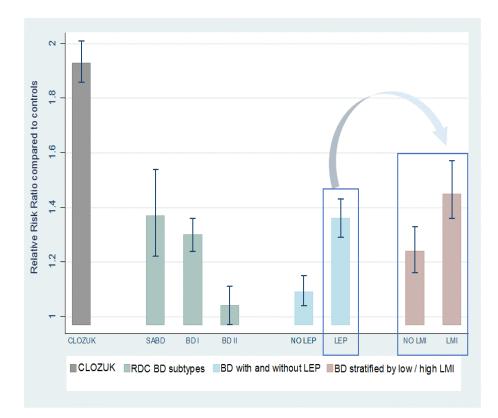


Figure 2: Percentage of RDC bipolar subtype as a function of polygenic risk scores (PRS) for schizophrenia grouped by decile.

x-axis- deciles of PRS, SABD – schizoaffective bipolar type, BD I Bipolar Disorder type I, BD II – Bipolar disorder type II.



## Figure 3: Relative Risk Ratios.

Height of bars represents Relative Risk Ratios for diagnosis or clinical feature compared with controls given 1 standard deviaton increase for schizophrenia polygenic score (PRS). Error Bars represent bootstrapped 95% confidence intervals. SABD – RDC schizoaffective bipolar type; , BD I – RDC bipolar disorder type I; BD II – RDC bipolar disorder type II: LEP – Lifetime Ever occurrence of psychosis within bipolar disorder; Low/High LMI – lifetime occurrence of Mood incongruence dichotomised as low or high within in those with psychotic forms of Bipolar Disorder